



Paragon Finance Limited

CIN - L65921WB1986PLC040980

402, SIKKIM HOUSE, 4/1, Middleton Street, Kolkata - 700 071

Phone : 033 4061 2288, 9331116100

E-mail : paragoncal@gmail.com

Website : www.paragonfinanceltd.com

Dated: **30th May, 2022**

To,
The Secretary
Corporate Relationship Department
BSE Limited
Phiroze Jeejebhoy Towers
Dalal Street, Fort
Mumbai - 400001

Dear Sir/Madam,

Ref: **Security Code: 531255**

Sub: **Annual Secretarial Compliance Report for the year ended 31st March, 2022**

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, read with SEBI circular No. CIR/CFD/CMD1/27/2019 dated 8 February 2019, we are submitting herewith the Annual Secretarial Compliance Report of the Company for the financial year ended 31 March 2022 duly issued by a Practicing Company Secretary.

This is for your information and records.

Thanking you.

Yours Faithfully,

FOR PARAGON FINANCE LIMITED


SANJAY KUMAR GUPTA
Company Secretary



AL & ASSOCIATES

Company Secretaries

24, Netaji Subhas Road, 4th Floor, Room No. 33, Kolkata - 700 001
Ph. : 4007 4750, E-mail : alassociates2014@gmail.com

Secretarial Compliance Report of Paragon Finance Limited for the year ended 31st March, 2022

I Priti Lakhotia, Practicing Company Secretary have examined:

- all the documents and records made available to us and explanation provided by Paragon Finance Limited.
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2022 in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations,2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations,2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations,2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations,2018;
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations,2014;
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations,2008;
- Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares)Regulations,2013;
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015;and circulars/ guidelines issued there under ;



and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder to the extent applicable.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations, circulars and guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) No actions are taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under aforesaid Acts/ Regulations & circulars/ guidelines issued thereunder.

There were no observations in the report for the previous year and therefore no actions were desired to be taken by the listed entity



For AL & ASSOCIATES

Priti Lakhotia
Partner

PRITI LAKHOTIA

Practicing Company Secretary

Membership No: 10843

C.P. No.: 12790

UDIN: F010843D000438481

Place: Kolkata

Date: 30/05/2022